

NetXClient Registration Job Aid

What is NetXClient? It is online access to your accounts. When using NetXClient, you can view balances, holdings, activity, as well as set up your accounts to have statements, confirms and tax documents delivered electronically.

You, as the client, have a couple of options for registering for online account access.

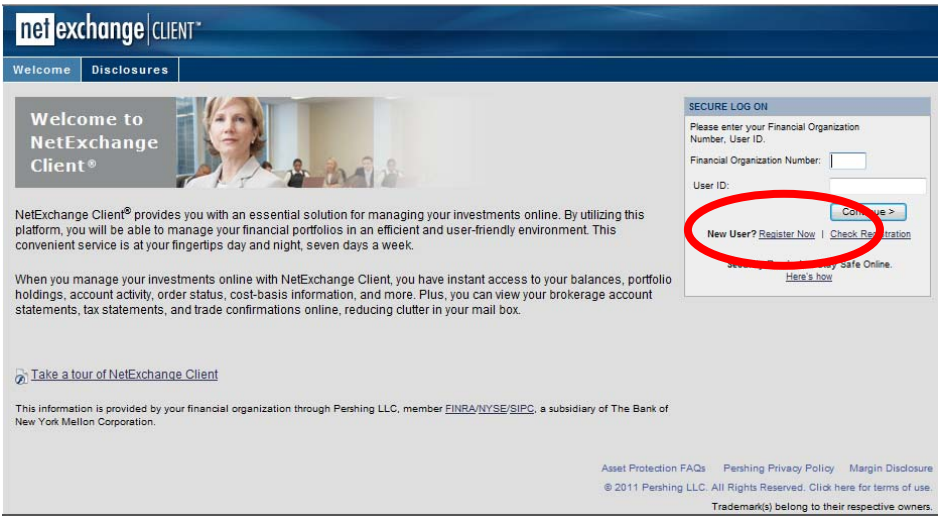
- Self- Registration via the website
- Complete the NetExchange Client and E-Delivery Request form (#218A) and forward to your representative

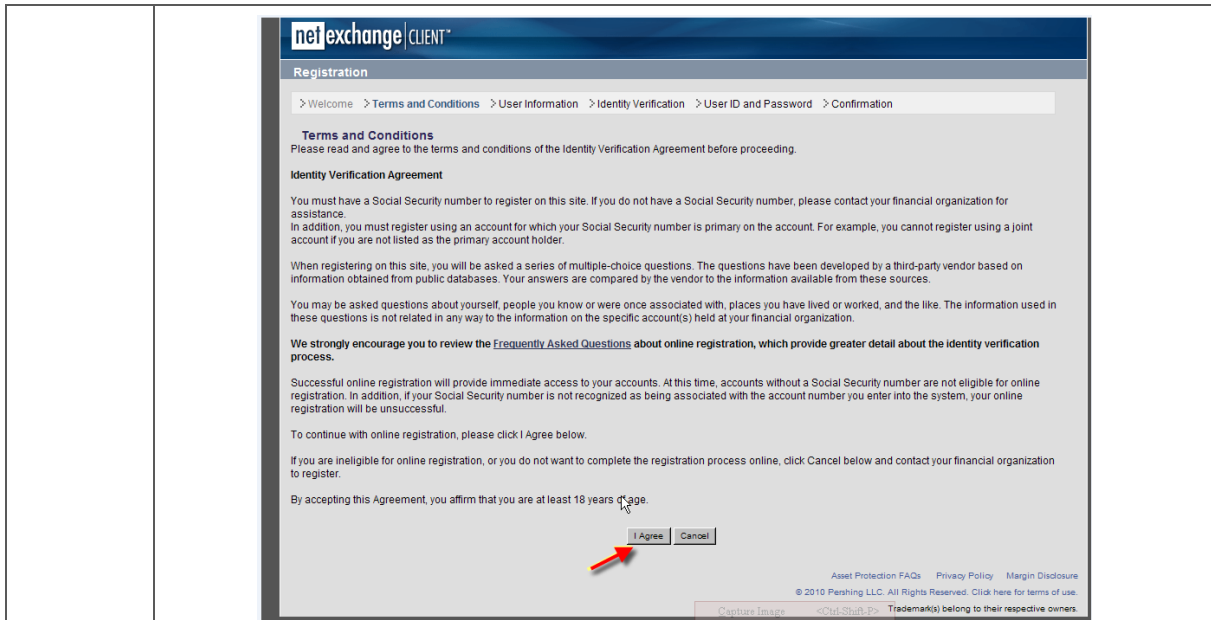
Self-Registration

Self-registration takes only a few minutes to establish and it can be done from your own computer. Follow the procedures below to use self-registration

Procedure

Follow the steps below to set-up access

Step	Action
1	Go to http://www.netxview.com
2	<ul style="list-style-type: none">• Click on the “Register Now”  <p>The screenshot shows the NetExchange Client website. The header includes 'netexchange CLIENT' and navigation links for 'Welcome' and 'Disclosures'. A 'Welcome to NetExchange Client' banner is present. Below the banner, there is a 'SECURE LOG ON' section with input fields for 'Financial Organization Number' and 'User ID', and a 'Continue >' button. A red circle highlights the 'New User? Register Now' link. Other links include 'Check Registration', 'Here's how', and 'Take a tour of NetExchange Client'. Footer text includes '© 2011 Pershing LLC. All Rights Reserved.' and 'Trademark(s) belong to their respective owners.'</p>
3	<ul style="list-style-type: none">• Review the “Terms and Conditions” regarding identity verification• Enter the first three characters of your account number



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- Provide information for the primary account holder, including Social Security Number for the account being registered

Note: The Social Security number being used, must match the primary social security number on the account that you are registering. For example, if you are registering a joint account, you must use the primary, not the secondary social security number.

5

- Provide a valid email address

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- Verify your identity by answering a few multiple choice questions

7	<ul style="list-style-type: none"> • Create a user ID and secure password <p>Note:</p> <ul style="list-style-type: none"> • Password must be a minimum of 8 characters and a maximum of 12 characters • Password must contain at least one alpha and one numeric character • Password cannot contain any special characters • Password cannot contain you first, last or middle name
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You are almost finished:

- Once you have set-up your user id and password, you will receive an email notification
- Click on the link in the email to activate your user id and password (YOU MUST DO THIS WITHIN 3 DAYS OF RECEIVING THE EMAIL OR YOUR ID WILL BECOME INACTIVE AND MAY REQUIRE YOU TO BEGIN THE PROCESS AGAIN)
- You will be redirected to the site where you can log in using your user id and password
- Once you have logged in, you will be asked to set up security questions to further protect your account

Now you are in and you only have one more thing to do: **GO PAPERLESS!!!**

- Set up your account to receive electronic delivery of statements, confirms and tax documents
- Simply click on the "GO PAPERLESS" button at the top of the screen
- Check the box next to the communications you wish to receive electronically and click on "SAVE" to confirm your choices
- Read the "Terms and Conditions" and click on "I ACCEPT"

The screenshot shows the NetExchange Client interface. At the top, there is a navigation bar with 'netexchange CLIENT' on the left and 'go paperless' on the right, which is circled in red. Below the navigation bar are tabs for 'Home', 'Accounts', 'Quotes', and 'Customer Service'. Underneath these are sub-tabs for 'Summary', 'Balances', 'Holdings', 'Order Status', 'History', 'Projected Cash', 'Asset Allocation', 'Statements and Reports', 'Bill Suite', and 'Profile'. The main content area is titled 'Balances' and shows an account dropdown menu and a 'Manage Groups' link. Below this, there are tabs for 'Summary' and 'Details'. The 'Summary' tab is active, showing a table of 'Key Values' and 'Funds Available/Due' as of 07/18/2011. The 'Key Values' table includes items like Long Market Value, Short Market Value, Securities Owed, MoneyFund Balance, Cash, Net Worth, Total Annuity Value, and Total Account Value, all showing \$0.00. The 'Funds Available/Due' table includes Funds Available for Withdrawal, Funds Available to Trade, and Funds Due (as of Previous Day), also showing \$0.00. To the right of the 'Key Values' table, there is a 'Quick Quote' section with a 'Delayed' status and a search bar. Below that is a 'Market Indices' section with a line chart for the Dow Jones Industrial Average and a table of market indices including DJIA, NASDAQ, NYSE, S&P 100, and S&P 500, showing their current values, changes, and percentages.

Now you are completed & can view your account information 24/7.

NetXExchange Client and E-Delivery Request Form

If you prefer to have Brokerage Operations establish your online access for you, this can be done by submitting the NetExchange Client and E-Delivery Request Form (#218A).

Once this has been completed, forward it to your representative who will in turn, forward it to Brokerage Operations for your user id to be established. The average time-frame for completion is 24 – 48 hours.

Once access has been established your representative will receive an email notification with your user id and password information.

As with self-registration, you will still be required to login and activate your user id and password as well as set up the PAPERLESS options shown in the above steps.

REMINDER

Once you have set-up your user id, you must activate it within 3 days, or it will be deleted and you will need to re-register your account.

For further questions, contact your representative